

IAP/MBCO/PCT/PTO 03 APR 2006

Substitute for Form PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>1033541-000005</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/574532</b>	
INTERNATIONAL APPLICATION NO. PCT/EP2004/009736	INTERNATIONAL FILING DATE 14 April 2005 (14-04-2005)	PRIORITY DATE CLAIMED 02 October 2003 (02-10-2003)	
TITLE OF INVENTION <b>METHOD AND APPARATUS FOR PROTECTING SHIPS AGAINST TERMINAL HOMING PHASE-GUIDED MISSILES</b>			
APPLICANT(S) FOR DO/EO/US Heinz BANNASCH et al.			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission to items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below.</li><li>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol>			
Items 11 to 21 below concern document(s) or information included:			
<ol style="list-style-type: none"><li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li><li>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li><li>15. <input type="checkbox"/> A substitute specification.</li><li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li><li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.</li><li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li><li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li><li>20. <input checked="" type="checkbox"/> Other items or information: <u>General Authorization Form for Petition for Extensions of time and payment of fees; Application Data Sheet and PCT/IPEA/416</u></li></ol>			

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<p>21. <input checked="" type="checkbox"/> Applicant(s) requests that the published application include the following assignment information: <u>Rheinmetall Waffe Munition GmbH, Neuenburg, Germany</u></p> <hr/> <hr/> <hr/>		<b>CALCULATIONS PTO USE ONLY</b>																																																																																																																																																											
<p>22. <input checked="" type="checkbox"/> The following fees are submitted:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">Basic Filing Fee (1631)</td> <td style="text-align: right;">\$ 300.00</td> </tr> <tr> <td colspan="2">Surcharge of \$130.00 (1617) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).</td> <td style="text-align: center;"><input type="checkbox"/> 20 <input type="checkbox"/> 30</td> </tr> <tr> <td colspan="2">CLAIMS</td> <td>NUMBER FILED</td> <td>NUMBER EXTRA</td> <td>RATE</td> <td>\$</td> </tr> <tr> <td>Total Claims</td> <td>26</td> <td>-20 =</td> <td>6</td> <td>x \$50.00 (1615)</td> <td>\$ 300.00</td> </tr> <tr> <td>Independent Claims</td> <td>2</td> <td>-3 =</td> <td>0</td> <td>x \$200.00 (1614)</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td colspan="4">+ \$360.00 (1616) \$ 0.00</td> </tr> <tr> <td colspan="2">Examination Fee</td> <td colspan="4">+ \$200.00 (1633) \$ 200.00</td> </tr> <tr> <td colspan="2">Search Fee</td> <td colspan="4">+ \$400.00 (1632) \$ 400.00</td> </tr> <tr> <td colspan="2">App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)</td> <td colspan="4">\$ 0.00</td> </tr> <tr> <td colspan="2"></td> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS = \$ 1,200.00</td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Applicant claims small entity status. 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A</td> </tr> <tr> <td>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u>. A duplicate copy of this sheet is enclosed.</td> <td colspan="5"></td> </tr> <tr> <td>d. <input checked="" type="checkbox"/> Charge <u>\$ 1,200.00</u> to credit card. Form PTO-2038 is attached.</td> <td colspan="5"></td> </tr> <tr> <td colspan="6" style="text-align: center;">NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</td> </tr> <tr> <td colspan="6" style="text-align: center;">   <b>SIGNATURE</b>  <hr/> </td> </tr> <tr> <td colspan="6" style="text-align: center;"> <hr/> <b>Patrick C. 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